This brochure supplement provides information about David Martin Goldenberg that supplements the Cove Capital Management Inc. brochure. You should have received a copy of that brochure. Please contact David Martin Goldenberg, President if you did not receive Cove Capital Management Inc.'s brochure or if you have any questions about the contents of this supplement.

# **Cove Capital Management Inc.**

# Form ADV Part 2B - Individual Disclosure Brochure

for

# David Martin Goldenberg

Personal CRD Number: 4262745 Investment Adviser Representative

> Cove Capital Management Inc. 4 Bridle Court Oyster Bay, New York, 11771 (516) 398-7897 dgolderb@optonline.net

## Item 2: Educational Background and Business Experience

Name: David Martin Goldenberg Born: 1957

#### **Education Background and Professional Designations:**

#### **Education:**

AS Physics, Nassau Community College – 1984 BS Economics, NY Institute of Technology – 1992 MBA Finance, NY Institute of Technology - 1995

#### **Business Background:**

04/2011 - Present	President Cove Capital Management Inc.
04/2009 - 04/2011	Managing Member Gardiners Avenue LLC
07/2007 - 03/2009	Senior Vice President Bank of Tokyo-Mitsubishi UFJ
04/2006 - 07/2007	Director Credit Suisse Securities
08/2000 - 04/2006	Director Dresdner Kleinwort Wasserstein
05/1996 - 07/2000	Assistant Vice President Citibank

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### Item 4: Other Business Activities

David Martin Goldenberg, as an individual, has other active and passive business interests and activities not related to securities. Clients will not be solicited to invest in those activities.

#### **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, David Martin Goldenberg does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Cove Capital Management Inc.

#### **Item 6: Supervision**

As the owner and president of Cove Capital Management Inc., David Martin Goldenberg supervises all duties and activities of the firm. David Martin Goldenberg's contact information is on the cover page of this disclosure document. David Martin Goldenberg adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

### **Item 7: Requirements For State Registered Advisers**

*This disclosure is required by New York, New Jersey and Florida securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.* 

- A. David Martin Goldenberg has <u>NOT</u> been involved in any of the events listed below.
  - 1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  - 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. David Martin Goldenberg has <u>NOT</u> been the subject of a bankruptcy petition at any time.